

Code of Hygienic Practice for Processing and Handling of Quick Frozen Foods

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Code of Hygienic Practice for Processing and Handling of Quick Frozen Foods**74 1 Scope and objective**

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76 This Code applies to the receiving, preparation, processing, handling, storage, transport,
77 distribution, and retailing of all quick frozen foods such as cereals, fruits and vegetables,
78 fish, meat, poultry and their products, bakery and pastry products. The Code does not apply
79 to edible ices, ice creams and milk.

80

81 The objective of this Code is to provide guidance for the processing and handling of quick
82 frozen food to help ensure product safety and other aspects of the production of quick
83 frozen foods including, as appropriate, essential quality provisions, composition and
84 labelling provisions of pertinent Codex commodity standards. The guidance, emphasizing
85 proper cold chain management, incorporates good hygienic and good manufacturing
86 practices and the application of the Hazard Analysis and Critical Control Point (HACCP)
87 approach described in the HACCP Annex to the *General Principles of Food Hygiene*
88 (CAC/RCP 1-1969). A prerequisite programme is described in the Code, covering essential
89 requirements of hygiene in the production of quick frozen foods that should be in place
90 prior to the application of HACCP.

91

92 The food hygiene provisions of this document are supplemental to, and must be used in
93 conjunction with the *General Principles of Food Hygiene* (CAC/RCP 1-1969). The Code
94 should also, as appropriate, be used in conjunction with other Codex texts, including the
95 *General Standard for the Labelling of Prepackaged Foods* (CODEX STAN 1-1985), codes of
96 hygienic practice (e.g. *Code of Hygienic Practice for the Transport of Food in Bulk and Semi-*
97 *Packed Food* (CAC/RCP 47-2001), *Code of Hygienic Practice for Meat* (CAC/RCP 58-
98 2005)), codes of practice (e.g. *Code of Practice for Fish and Fishery Products* (CAC/RCP
99 52-2003)) as well as the *Guidelines for the Validation of Food Safety Control Measures*
100 (CAC/GL 69-2008). Reference can also be made, as appropriate, to Codex quick frozen food
101 standards and/or provisions in relevant Codex texts.

102

103 This Code including its Annex is intended to assist all those who are engaged in the
104 processing and handling of quick frozen foods and/or are concerned with their storage,
105 transportation, export, import and sale in attaining safe food products of appropriate
106 quality.

107

108 In addition, the Code may be used for training of employees of the quick frozen food
109 industry. The application of this Code is likely to require modifications and amendments,
110 taking into account local conditions and specific consumer requirements.

111

112

113

114

115

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116 **2 Terms and definitions**

117

118 **2.1**119 **blanching**

120 heat process typically applied to a food for the purpose of inactivating enzymes and/or
121 fixing the product colour

122

123 **2.2**124 **cold chain**

125 term embracing the continuity of successively employed means to maintain the
126 temperature of foods, as appropriate, from receiving through processing, transport, storage
127 and retailing

128

129 **2.3**130 **prerequisite programme**

131 programme required prior to the application of the HACCP system to ensure that any
132 component of the cold chain is operating according to the *General Principles of Food*
133 *Hygiene* (CAC/RCP 1-1969) appropriate Codex codes of practice, and other appropriate
134 food safety legislation

135

136 **2.4**137 **quick freezing process**

138 process which is carried out in such a way that the range of temperature of maximum ice
139 crystallization is passed as quickly as possible

140

141 **2.5**142 **quick frozen food**

143 food which has been subjected to a quick freezing process, and maintained at -18°C or
144 colder at all points in the cold chain, subject to permitted temperature tolerances

145

146 **2.6**147 **responsible competent authority**

148 refers to the regulatory agency responsible for the implementation of official food control
149 system to ensure public health and safety across the food supply chain

150

151 **2.7**152 **thermal centre**

153 point within a piece of food which has the highest temperature at the end of a quick
154 freezing process

155

156

157

158

Code of Hygienic Practice for Processing and Handling of Quick Frozen Foods159 **2.8**160 **tolerances**

161 short term fluctuations of temperature of the product in the cold chain, within limits
162 permitted in this Code and which do not affect safety and quality

163

164

165

166 **3 Prerequisite Program**

167

168 In conjunction with the application of HACCP to any segment of the quick frozen food chain,
169 that segment should be supported by prerequisite programmes based on good hygienic
170 practice and good manufacturing practice. Prerequisite programmes should be specific
171 within an individual establishment, and should be periodically evaluated to ensure their
172 continued effectiveness.

173

174 While prerequisite programmes are usually associated with food safety, properly operating
175 prerequisite programmes will also contribute to product quality.

176

177 Reference should be made to the *General Principles of Food Hygiene* (CAC/RCP 1- 1969) and
178 relevant Codex codes of hygienic practice and codes of practice including the *Guidelines for*
179 *the Validation of Food Safety Control Measures* for further information to assist with the
180 design of the prerequisite programmes for a processing facility.

181

182 In addition to the provisions of the *General Principles of Food Hygiene* (CAC/RCP 1-1969)
183 the following additional prerequisite provisions should apply:

184

185 **3.1 Establishment: Design and Facilities**

186

187 **3.1.1 Location**

188

189 Processing facilities should, to the extent possible, be located close to the source of raw
190 materials so as to minimize changes that might lead to quality or safety concerns for raw
191 materials of quick frozen foods prior to freezing.

192

193 **3.1.2 Process Plant Design**

194

195 The food processing facility should be designed for the rapid processing, freezing and
196 storage of food products. The processing facility should include a product flow that is
197 designed to minimize process delays and prevent cross-contamination that could affect
198 food quality and safety.

199

200 **3.1.3 Cold Store Design**

201

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202 The cold store walls, floor, ceiling, and doors should be properly insulated in order to help
203 maintain appropriate product temperatures. It is important that the design of the cold
204 store ensures that:

- 205
- 206 a) adequate refrigeration capacity provides and maintains a product temperature of -
207 18°C or colder;
 - 208
 - 209 b) there is adequate air flow around the stored foods;
 - 210
 - 211 c) storage areas are provided with a capability to control and record temperatures on
212 a regular basis;
 - 213
 - 214 d) loss of cold air and introduction of warm and humid air are avoided; and
 - 215
 - 216 e) leaks of any refrigerant are prevented. In case of a leak, immediate corrective action
217 ought to be applied in order to eliminate the problem.
 - 218

3.1.4 Equipment Design and Construction

219

220

221 The equipment should be designed and constructed in such a manner that physical damage
222 to the raw materials and product is minimized, e.g. by ensuring there are no sharp inside
223 corners or projections and that physical, chemical or biological hazards are not introduced
224 into the product. Freezers should be designed and constructed so that, when properly
225 operated, they meet the requirements of a quick freezing process.

3.1.5 Facilities

226

227

228

229 In the case of power losses or equipment failure, a contingency plan should be in place in
230 order to maintain the product temperature.

3.2 Control of Operation**3.2.1 Recall Procedures**

231

232

233

234

235

236 Recall procedures should be in place to ensure timely withdrawal of products that may
237 pose a risk to human health.

3.2.1.1 Traceability/Product Tracing

238

239

240

241 The traceability/product tracing system should be designed and implemented according to
242 the *Principles for Traceability/Product Tracing as a Tool within a Food Inspection and*
243 *Certification System* (CAC/GL 60-2006), especially to enable the withdrawal of the product,
244 where necessary.

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3.3 Establishment: Maintenance and Sanitation**3.3.1 Maintenance Regimes**

Proper maintenance and repair of any damage to the cold store and its infrastructure (e.g. prevention of rust, water leaks, ice accumulation, etc.) should be ensured so that insulation and refrigeration performance is maintained.

3.4 Training

Staff should have the skills and knowledge appropriate to their work to ensure that safety and quality of foods is not adversely affected during handling. Staff should also be aware of the importance of maintaining temperature control for frozen foods to maintain the quality and safety of the foods. Training programs should be in place (either formal training courses or training provided whilst working) to ensure that staff have these skills and knowledge.

4 Cold Chain Control

As appropriate, both safety and quality aspects should be considered for each operation of the cold chain.

With respect to food safety, a HACCP plan should be developed, as appropriate, for each operation in the cold chain.

Cold chain control is also important with respect to food quality. Essential quality provisions can apply at various points in the processing and handling system. While control of essential quality provisions may be considered optional, control of food safety hazards through prerequisite programs and a HACCP plan should be used, as appropriate, to ensure safety.

4.1 Raw materials

Raw materials used should be safe, sound and suitable for further processing.

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288 Procedures should be in place to ensure quality and safety of incoming materials. Freezing
289 cannot improve quality, and it is necessary to use raw materials of optimum quality. Many
290 raw materials and food products are highly perishable and should be handled carefully to
291 maintain their quality until the freezing process is initiated.

292
293 Initial microbial levels in raw materials to be frozen should be kept as low as possible, both
294 for food safety and quality reasons. Temperatures and duration of storage should be
295 appropriately and regularly controlled to minimize adverse microbial effects. Most quality
296 deterioration, including the development of off odours and flavours and changes in colours
297 and texture are due to microbial growth or enzymatic activity.

298
299 Producers of quick frozen food should as far as practicable implement measures to control
300 physical, biological and chemical hazards in raw materials to levels that do not present a
301 threat to human health according to the recommendations of the relevant sections of the
302 *General Principles of Food Hygiene* (CAC/RCP 1-1969) and other relevant Codex texts.

303
304 Appropriate procedures should be in place for sorting and segregating raw materials that
305 are unsuitable for further processing. Raw materials for processing and quick freezing
306 should be prepared without delay and appropriate temperature control should be applied
307 in order to minimize possible microbiological, chemical or biochemical changes that might
308 affect safety and quality. To minimize deterioration, raw materials should be cooled and
309 stored under appropriate conditions (e.g. pre-cooling) or transported and frozen in the
310 shortest time possible.

311
312 For highly perishable products, product temperature control at receiving may be
313 considered a critical control point (CCP). Additionally, the receipt temperature may also be
314 considered an essential quality provision.

315

316 **4.2 Processing before freezing**

317

318 Raw materials may be processed in many ways before freezing, e.g. cleaning, sorting,
319 cutting, slicing, blanching, conditioning, ageing, scalding, filleting and heating. Whether
320 such processes should be regarded as CCPs depends on the type of raw materials and the
321 actual conditions, especially on how much time the raw materials and the resulting product
322 spend at temperatures that could result in pathogen growth. It is particularly important
323 that the time spent in the critical temperature zone (i.e. between 10°C and 60°C) be as
324 short as possible. Consideration should also be given to any of these processes as to
325 whether or not they should be regarded as an essential quality provision.

326

327 Blanching is often used in the production of frozen vegetables and other products to
328 inactivate enzymes that would cause quality problems (taste, color) during frozen storage.
329 The blanching schedule should be determined to ensure the desired quality outcome, and
330 may be an essential quality provision.

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331
332 If storage of intermediate ingredients (e.g. a quick frozen vegetable that is to be combined
333 with other quick frozen vegetables or other ingredients into a final product) is necessary
334 prior to further processing, the storage conditions, especially temperature, should be
335 appropriate to the foodstuff concerned and if necessary, take into account future use or
336 further processing of the food.

337 The heat treatment of many pre-cooked foods, e.g. prepared meals, should be sufficient to
338 ensure inactivation of pathogens of concern. In certain cases, based on the hazards and
339 controls specified for an operation, the time-temperature treatment and subsequent
340 cooling may be considered as CCPs.

341
342 If frozen raw materials are used and a thawing process is included, the thawing method
343 should be clearly defined and the thawing schedule (time and temperature parameters)
344 should be carefully monitored. Selection of the thawing method should take into account
345 the thickness and uniformity of size of the products in particular. Thawing should be done
346 in such a manner that the growth of microorganisms is controlled. Thawing time and
347 temperature parameters may be a CCP and/or an essential quality provision.

348 349 **4.3 Quick Freezing Process**

350
351 The quick freezing process should be performed in such a manner as to minimize physical,
352 biochemical and microbiological changes, by taking into account the freezing system or
353 process and its capacity, nature of the product (thermal conductivity, thickness, form,
354 initial temperature) and volume of production. This is best achieved by ensuring that the
355 product passes quickly through the temperature range of maximum ice crystallization. This
356 temperature range varies among different types of products. The quick freezing process
357 step may be considered an essential quality provision.

358
359 During freezing operation it is important to provide spaces or channels permitting air
360 circulation between the cartons or the pieces of food, respectively. This is especially the
361 case when large lots of food are frozen or where the food consists of large pieces (e.g.
362 whole turkeys). If such air channels are not provided, the mass of the food may be such that
363 in spite of rapid air blast and low air temperatures, the inner parts of the lot chill and freeze
364 slowly. It is important that the thermal centre of the product is chilled as quickly as
365 possible to prevent the outgrowth of pathogenic microorganisms or the production of
366 microbial toxins. Freezing may be a CCP.

367
368 The quick freezing process should not be regarded as complete until and unless the
369 product temperature has reached -18°C or colder at the thermal centre, after the
370 stabilization of the temperature. On exit from the freezing apparatus, the product should be
371 moved to a cold store as quickly as possible in order to minimize exposure to warm
372 temperatures and high humidity and to maintain the product temperature at -18°C or

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373 colder. The same applies to products that are retail packed after the quick freezing process
374 (see Section 4.8).

375

376

377

378

379 4.3.1 Impact of Quick Freezing on Microorganisms and Parasites

380

381 Freezing should not be considered as a lethal treatment for microbiological contamination
382 in foods. However, freezing may result in the death of certain microorganisms and will
383 inhibit the growth of others.

384

385 In products intended for raw consumption or not fully cooked prior to consumption,
386 freezing can be used to control live helminth parasites, such as *Anisakis spp.* and *Trichinella*
387 *spiralis*. Freezing may serve as a control mechanism when developing HACCP plans for
388 marinating, pickling, or other final preparations which do not supply sufficient heat from
389 cooking to inactivate any potentially harmful parasites. The conditions required for
390 effective parasite control using freezing include the final temperature and time of holding
391 in the frozen state. These parameters vary depending on a number of factors which may
392 include the type of commodity, species of parasite, thickness of the product, and
393 arrangement of product in the freezer. The use of freezing as a food safety control measure
394 should, as with all food safety control measures, be appropriately validated to ensure that
395 the measure is capable of controlling the hazard.

396

397 4.4 Processing after freezing

398

399 Glazing may be used to limit dehydration during frozen storage. Such dehydration may
400 affect the appearance and other quality parameters of the food. The application of glazing
401 should be properly controlled.

402

403 4.5 Packaging and labelling

404

405 4.5.1 Packaging

406

407 In general, the packaging should:

408

409 a) protect the food against dehydration;

410 b) protect the food against microbial and other contamination that could adversely
411 affect safety and quality;

412 c) protect the sensory and other quality characteristics of the food; and

413 d) not add to the food any substance that may influence the safety and quality of the
414 food.

415

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416 The packaging or re -packing of quick frozen foods should be carried out in such a manner
417 that an increase in temperature, within the permitted tolerances of the quick frozen foods,
418 does not adversely affect the safety and quality of the product.
419

4.5.2 Labelling

420
421 The labelling of packaged quick frozen foods should comply with the requirements of the
422 *General Standard for the Labelling of Prepackaged Foods* (CODEX STAN 1-1985) and the
423 relevant Codex standards for quick frozen foods.
424

425
426 Proper labelling includes information that instructs the consumer regarding safe handling
427 practices and, where appropriate, briefly informs the consumer of the food safety issue. It
428 shall comply with the guidelines set by the responsible competent authorities.
429

4.6 Frozen Storage

430
431 Cold stores should be designed and operated so as to maintain a product temperature of -
432 18°C or colder with a minimum of fluctuation (see Section 3.1.3). The temperature of the
433 cold store may be an essential quality provision and/or a CCP to avoid a critical
434 temperature abuse situation that may jeopardize food safety.
435

436
437 Stock should be placed in the cold room in such a manner that the circulation of cold air is
438 not impeded to the extent that the product temperature is adversely affected.
439

440 Stocks should be rotated to ensure that the products leave the cold store on a “First in-First
441 out” basis or shortest durability date. In no case, should products be stored beyond their
442 specified shelf-life.
443

4.7 Transport and distribution

444
445 The product temperature during transport and distribution may be an essential quality
446 provision and/or a CCP to avoid a critical temperature abuse situation that may jeopardize
447 food safety. The transport of quick frozen foods (e.g. from cold storage warehouse to cold
448 storage warehouse) should be carried out in suitably insulated equipment that ideally
449 maintains a product temperature of -18°C or colder. The product temperature should be at
450 -18°C or colder at the beginning of the transport.
451

452
453 Vehicle compartments or containers should be pre-cooled prior to loading. Care should be
454 taken not to impair the efficiency of temperature control or reduce the refrigeration
455 capacity.
456

457 The user of the vehicle or container should ensure:
458

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- 459 • adequate supervision of product temperatures at the moment of loading;
- 460 • effective stowage of the load in the vehicle or the container to protect the cargo
- 461 against heat entering from outside;
- 462 • efficient operation of the refrigerating unit during transit, including the correct
- 463 thermostat setting;
- 464 • an appropriate method of unloading at the points of arrival (particularly the
- 465 frequency and duration of door openings);
- 466 • proper maintenance of the insulated body and the refrigeration system; and
- 467 • proper cleaning of the vehicle or container.

468
469 Distribution of quick frozen foods should be carried out in such a way that any rise in
470 product temperature warmer than -18°C be kept to a minimum within, as appropriate, the
471 limit set by responsible competent authorities and should not in any case be warmer than $-$
472 12°C in the warmest package to ensure quality of the products. After delivery, the product
473 temperature should be reduced to -18°C as soon as possible.

474
475 Loading into and unloading from vehicles and loading into and unloading from cold stores
476 should be as fast as practicable and the methods used should minimize product
477 temperature rise.

478

479 **4.8 Transfer points**

480

481 Attention should be paid to moving quick frozen foods as rapidly as is reasonably
482 practicable from cold store to vehicle/container or from vehicle/container to holding store
483 or from holding store to display cabinets. Often, transfer of responsibility occurs at the
484 same time.

485

- 486 • Quick frozen foods should not be left for any significant length of time at ambient
- 487 temperature.
- 488 • Procedures should be established for dispatching loads and for immediate storage
- 489 of food upon arrival, in order to minimize exposure to humidity, elevated
- 490 temperatures or other adverse conditions.
- 491 • It should be established that all personnel are following such procedures.
- 492 • The product temperature should be checked as necessary, as the product is received
- 493 or dispatched and a record of these measurements retained for a period that
- 494 exceeds the shelf-life of the product.
- 495 • Operations (such as casing, order assembly, palletizing, etc.) should be carried out in
- 496 the cold store or in a suitably temperature-controlled area.

497

498 **4.9 Retail Sale**

499

500 Quick frozen foods should be offered for sale from freezer cabinets designed for the
501 purpose. Cabinets should be capable of maintaining and be so operated as to maintain a

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502 product temperature of -18°C. A rise in product temperature may be tolerated for short
503 periods, with any rise warmer than -18°C kept to a minimum, within, as appropriate, the
504 limit set by responsible competent authorities, and should not in any case be warmer than -
505 12°C in the warmest package.

506
507 Temperature in the cabinet may be an essential quality provision and/or a CCP to avoid a
508 critical temperature abuse situation that may jeopardize food safety.

509
510 Display cabinets should:

- 511
- 512 • be equipped with an appropriate temperature measuring device (see Annex, Section
- 513 2.4);
- 514 • be located so that the open display area is not subject to draughts or abnormal
- 515 radiant heat (e.g. direct sunlight, strong artificial light or in direct line with heat
- 516 sources); and
- 517 • never be stocked beyond the load line.
- 518

519 Cabinets requiring defrosting should have the defrost cycle programmed in such a way
520 that, to the extent possible, defrosting takes place outside peak shopping periods. If
521 necessary to avoid detrimental effects due to warming or thawing, quick frozen foods
522 should be moved during defrost cycles to a suitable cold store.

523
524 Stocks should be rotated to ensure that the products are sold on a “First in-First out” basis
525 or shortest durability date. In no case, should products be stored beyond their specified
526 shelf-life.

527
528 The retail establishment should have an appropriate back-up storage for quick frozen foods
529 that allows products to be kept at a temperature of -18°C

530 **5 Temperature management in the cold chain**

531
532 Inadequate food temperature control is one of the most common causes of food borne
533 illness. Inadequate food temperature control may also result in an adverse effect on
534 product quality, including food spoilage. Temperature management systems should be in
535 place to ensure that the temperature along the cold chain is controlled and monitored
536 effectively. Details on temperature control and temperature monitoring are provided
537 below and in the Annex, which provides additional guidance and explanation on currently
538 available technology on temperature monitoring and control in the cold chain.

539 **5.1 Temperature monitoring**

540
541
542 Operators should ensure that appropriate systems are in place to monitor air temperatures
543 during the freezing process and to monitor temperature along the cold chain in order to

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544 ensure that the product temperature is maintained at -18°C or colder within the permitted
545 tolerances set by responsible competent authorities.

546
547 In general, operators have a choice of monitoring systems for quick frozen products, which
548 either include measurement of operating air temperatures of the refrigerating systems or
549 direct/indirect measurement of product temperature. Additional approaches also exist
550 (see Section 5.1.3).

551

5.1.1 Air Temperature Monitoring

552

553 In air temperature monitoring, fixed temperature sensors are used to monitor the air
554 temperature in the refrigerated system. The sensors are normally protected from damage
555 during commercial activity.

556

557 Air temperature monitoring permits:

558

- 559 • diagnosis of problems occurring in the system; and
- 560 • process management using data storage on computers, which can be linked to other
561 operating information such as defrost cycles, door openings, energy consumption
562 and production batch codes.

563

5.1.2 Product Temperature Monitoring

564

565 Product temperature may be measured directly or indirectly. Direct measurements of
566 product temperature may be undertaken destructively or non-destructively. Although
567 product temperature measurement can give more confidence than air temperature
568 monitoring that temperature requirements are being complied with, this approach is often
569 not practical during busy production and distribution periods.

570

5.1.3 Additional Approaches

571

572 Additional approaches to temperature monitoring include:

573

- 574 • use of a simulated food product;
- 575 • use of temperature probes and/or recorders, as appropriate, placed between
576 packages or in a load;
- 577 • use of a non-contact thermometer; and
- 578 • use of temperature indicators and time-temperature indicators.

579

5.1.4 Temperature monitoring equipment

580

581 The selection of temperature monitoring equipment should take into account:

582

583

584

585

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- 587 • appropriate accuracy and resolution (depends on the construction of the equipment
- 588 and its use);
- 589 • ability to withstand vibrations, shocks or movement (for mobile system);
- 590 • coverage of temperature range adequate for quick frozen foods; and
- 591 • need for calibration and periodic checks to ensure proper functioning.
- 592

5.2 Stepwise approach to temperature control

593 When quick frozen foods are being inspected in the cold chain, either before loading or

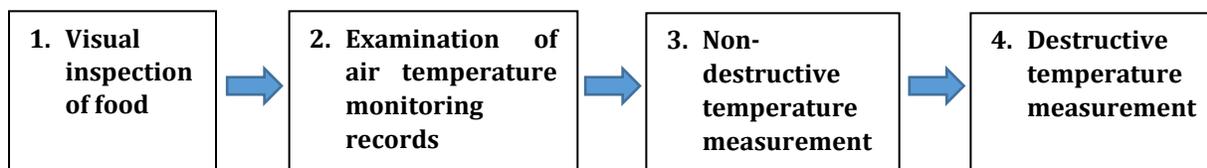
594 during unloading, a stepwise approach is recommended.

595

596

597

598



- 605 1. First, before loading and during unloading, a visual inspection is recommended in order
- 606 to verify the condition of the foods (e.g. for signs of damage, abuse, defrosting).
- 607
- 608 2. Second, the air temperature monitoring records and other temperature readings noted
- 609 in the documentation following the foods should be examined. If the loading
- 610 temperature was correct and the refrigeration system functioning correctly, and there
- 611 are no irregularities in the temperature difference between the air leaving the
- 612 refrigeration unit and the air return, no further action need be taken.
- 613
- 614 3. A non-destructive product temperature measurement should be carried out, especially
- 615 if there is a doubt about any of the above aspects or no records are available. This
- 616 should involve a between carton or between package temperature reading (see Annex,
- 617 Section 3.1.3). If the non-destructive measurement indicates that the product
- 618 temperature is within the permitted tolerances set by responsible competent
- 619 authorities, the inspection may stop at this point.
- 620
- 621 4. If the non-destructive product measurement indicates that the product temperature is
- 622 outside the permitted tolerances, a destructive temperature measurement should be
- 623 undertaken (see Annex, Section 3.1.4). This operation must be carried out after placing
- 624 the cargo in refrigerated environments or after protecting the load in order to avoid
- 625 increasing the temperature of the food.
- 626

627 Whenever this stepwise approach indicates a temperature violation, the procedure in

628 Section 5.3 should be followed.

629

Code of Hygienic Practice for Processing and Handling of Quick Frozen Foods**630 5.3 Temperature violation**

631

632 Loads or parts of loads that are warmer than the temperature required for quick frozen
633 food should be identified and sorted immediately. Delivery, and sale of these loads or parts
634 of loads should be suspended. It is the responsibility of the person in possession of the food
635 to ensure the food safety of the product. Any measures necessary for preserving the food
636 should be taken, including bringing down the temperature immediately. An assessment
637 should be made as to whether the safety or the quality of the product has been
638 compromised and action taken accordingly. Destruction of the product may be necessary,
639 especially if safety provisions are compromised. In cases of compromised safety or quality,
640 the supplier, as well as other relevant parties in the supply chain should be informed of the
641 incident. In the case of compromised safety the responsible competent authorities should
642 also be notified.

643

644 5.4 Record keeping

645

646 Records of these measurements should be kept for a period that exceeds the shelf-life of
647 the product or as required by responsible competent authorities.

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ANNEX

660

661 SPECIFIC INFORMATION ON TEMPERATURE MONITORING AND CONTROL IN THE
662 COLD CHAIN

663

664 1 Introduction

665

666 This Annex provides additional guidance and explanation on currently available technology
667 on temperature monitoring in the cold chain. New temperature measuring and recording
668 devices may be developed and should be used as appropriate.

669

670 2 Air temperature monitoring

671

Code of Hygienic Practice for Processing and Handling of Quick Frozen Foods**672 2.1 Air temperature monitoring equipment**

673

674 Temperature measurement and recording devices consist of a sensor (placed in the cold
675 air), and a read-out or recording system. The sensor can be located far from the read-out or
676 recording system or incorporated in it. A recorder is able to store the data, usually
677 electronically, although chart recorders are still widely used for cold stores and containers.
678

679

- 679 • Air temperature measurement and recording devices should be accurate to within \pm
680 2°C and have a resolution of 1°C . The response time, i.e. the time taken for readings
681 to stabilize, depends on the construction of the equipment and its use. Also if the
682 system is mobile, it should be able to withstand vibrations, shocks or movement.
683

684

- 684 • The sensor may consist of a thermocouple (e.g. Type K, Type T), thermistor or
685 platinum resistance device. All of these will provide an acceptable performance and
686 cover a temperature range adequate for quick frozen foods.
687

688

- 688 • Systems are checked and calibrated during manufacture. It is important that once
689 installed, periodic checks are carried out to ensure proper functioning. This is
690 normally undertaken by checking against a calibrated thermometer placed in an
691 equilibrated ice bath.
692

693

693 2.2 Air temperature monitoring of cold stores

694

695 Sensors should be placed high up, in relevant locations within the cold store, away from all
696 positions causing uncontrolled temperature fluctuations such as cooler fans, the entrance
697 or the exit (if different from the entrance) in order to enable precise recording. The
698 position of the sensors should be chosen taking into account the cold air circulation and in
699 such a manner to give an accurate recording of the temperature conditions. Recorders are
700 recommended to be placed outside the cold stores in a convenient location selected for this
701 purpose.
702

703

703 As far as the number of sensors concerned, each food business operator should evaluate its
704 processes and make a documented decision on the number of sensors required. As
705 indicative figures, small cold stores (less than 500 m^3) may need only one sensor, those
706 with a volume of less than $30,000\text{ m}^3$ may require two sensors, those with a volume from
707 $30,000\text{ m}^3$ - $60,000\text{ m}^3$ may require four sensors, and those with a volume greater than
708 $60,000\text{ m}^3$ may require 6 sensors. Retail stores with a volume less than 10 m^3 can be
709 equipped with only a visible thermometer.
710

711

711 2.3 Air temperature monitoring during transport

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713 Measurement of the return air temperature to the cooling unit will give a good indication of
714 the load temperature, provided adequate air flow is achieved throughout the length of the
715 vehicle.

716

717 In long vehicles (above 6 m), air ducting is recommended to ensure that sufficient cold air
718 reaches the rear of the vehicle. Two sensors are recommended to be fitted in the
719 compartment: one measures the return air temperature, and the other is placed two thirds
720 to three quarters the length of the vehicle mounted in the ceiling ducts. The difference
721 between these two temperatures should be an indication of how well the refrigeration is
722 functioning. If the difference is large or variable it may indicate insufficient pre-cooling,
723 incorrect stowage of pallets, or unnecessary delay in closing the doors.

724

725 The recorder can be placed in the vehicle cabin or mounted on the outside, usually near the
726 refrigeration controls.

727

728 **2.4 Air temperature monitoring in display cabinets**

729

730 Display cabinets should be equipped with an accurate thermometer or temperature
731 measuring device that is easily readable. In open cabinets, the temperature should be
732 measured in the return air, at the load line level, or at the warmest place.

733

734 **3. Product temperature monitoring**

735

736 **3.1 Direct temperature measurement**

737

738 **3.1.1 Specification of Measuring System**

739

740 The temperature measuring device used to measure product temperature should be of
741 better accuracy than that used for air temperature monitoring. The following specifications
742 are recommended for the system, i.e. sensor and read-out:

743

- 744 • the system should have an accuracy of $\pm 0.5^{\circ}\text{C}$ within the measuring range -20°C to
745 $+30^{\circ}\text{C}$;
- 746 • the response time should achieve 90% of the difference between initial and final
747 readings within three minutes;
- 748 • the display resolution of the read-out should be 0.1°C ;
- 749 • the measuring accuracy should not change by more than 0.3°C during operation in
750 the ambient range -20°C to $+30^{\circ}\text{C}$;
- 751 • the system should be calibrated or otherwise verified prior to use and at specified
752 intervals against measurement standards traceable to international or national
753 measurement standards;
- 754 • the accuracy of the system should be checked at regular intervals;

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- 755 • the system should be robust and the device and equipment should be shock-proof;
756 and
757 • the electrical components of the system should be protected against undesirable
758 effects due to condensation of moisture.

759

3.1.2 Pre-cooling of the Probe

760

761 The probe should be pre-cooled to a temperature as close to the product temperature as
762 possible before measurement. After inserting the probe, the temperature should be read
763 when it has reached a stable value.
764

765

3.1.3 Non-destructive Temperature Measurement

766

767 Non-destructive testing is rapid and can be done without unduly disturbing the load.
768 However, because the outside temperature of the package or carton is being measured this
769 may result in up to 2°C difference between the true product temperature and the reading
770 obtained.
771

772

773 Product surface temperature measurement undertaken non-destructively should:

774

- 775 • measure the temperature between cases on a pallet or between packages inside a
776 carton;
- 777 • use sufficient pressure to give good thermal contact, and sufficient length of probe
778 inserted to minimize conductivity errors; and
- 779 • use a probe with a flat surface to give good surface thermal contact, low thermal
780 mass, and high thermal conductivity.

781

782

783

784

3.1.4 Destructive Temperature Measurement

785

786 Temperature probes are not designed to penetrate quick frozen foods. Therefore it is
787 necessary to make a hole in the product in which to insert the probe. The hole is made by
788 using a pre-cooled sharp pointed metallic device such as an ice punch, hand drill or an
789 auger. The diameter of the hole should provide a close fit to that of the probe. The depth to
790 which the probe is inserted will depend on the type of product:
791

792

- 793 • where product dimensions allow, insert the probe to a minimum depth of 2.5 cm
794 from the surface of the product.
- 795 • where this is not possible because of the size of the product, the probe should be
796 inserted to a minimum depth from the surface of 3 or 4 times the diameter of the
797 probe.

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- where it is not possible or practical to make a hole in certain foods because of their size or composition, e.g. diced vegetables, the internal temperature of the food package should be determined by insertion of a suitable sharp-stemmed probe to the center of the package to measure the temperature in contact with the food.
 - in order to measure the centre temperature in large products after the quick freezing process it may be necessary to insert the probe to a depth of more than 2.5 cm.

806

3.2 SAMPLING OF PRODUCTS FOR TEMPERATURE MEASUREMENT

807

3.2.1 During Transport

808

809 A non-destructive temperature measurement should be taken of the product being loaded
810 into the vehicle and a record entered in the documents.
811

812

813 A destructive product temperature measurement should be made if there appears to be a
814 problem. If it is necessary to measure product temperatures during transport while the
815 vehicle is loaded, samples should be selected from the top and bottom of the consignment
816 adjacent to the opening edge of each door or pair of doors (see Figure 1).
817

818

819 If product temperature measurement is necessary, after the vehicle is unloaded and the
820 cargo placed in a properly cooled environment, four samples should be selected from
821 within the transport vehicle from among the following points, carefully noting the location
822 of the load within the transport vehicle (see Figure 2).

823

824 When samples are selected, a non-destructive temperature measurement should in general
825 be carried out first before deciding whether a destructive measurement should be carried
826 out. A total tolerance of 2.8°C should be applied (2°C for limitations of methodology and
827 0.8°C tolerance for the system). If a destructive measurement is carried out, the tolerance
828 of 2.8°C is not applicable.

829

3.2.2 At Retail

830

831 If it is necessary to measure the temperature of quick frozen foods in retail display
832 cabinets, one sample should be selected from each of three locations representative of the
833 warmest points in the cabinets. The positions will vary with the different types of retail
834 display cabinets used.
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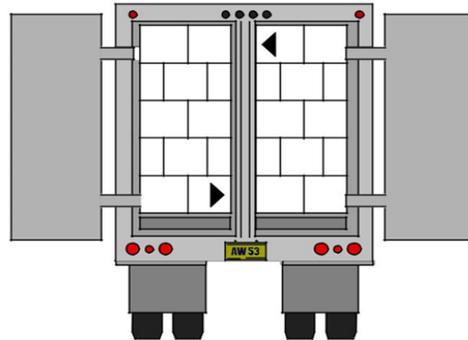


Figure 1 - Sampling positions for a loaded vehicle (◀)

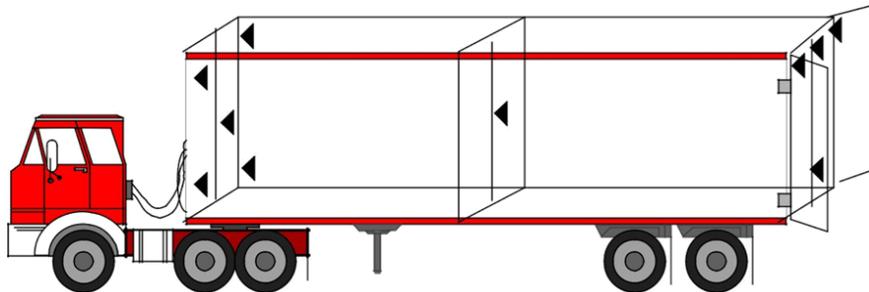


Figure 2 - Sampling positions for an unloaded vehicle (◀)

- o top and bottom of the consignment adjacent to the opening edge of the doors;
- o top and far corners of the consignment (as far from the refrigeration unit as possible);
- o centre of the consignment;
- o centre of the front surface of the consignment (as close to the refrigeration unit as possible);
- o top and bottom corners of the front surface of the consignment (as close as possible to the air return inlet).

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883 4. Optional Approaches to Temperature Monitoring: Indirect Temperature
884 Measurement

885

886 4.1 Simulated product

887

888 When air temperature monitoring is difficult, e.g. during the freezing process, it is possible
889 to use a simulated food sample. This is a device that has a similar shape and is made of a
890 material that has similar thermal properties and gives a similar cooling factor to the food
891 being monitored. Materials such as nylon, polystyrene, polyvinyl chloride, perspex and
892 polytetrafluorethylene have thermal properties similar to certain foods. Sensors can be
893 embedded permanently into such a device and it can be packed along with the food
894 packages and measured when required. The simulant may also be incorporated into a
895 temperature recording device.

896

897 4.2 Recorders between packages

898

899 Small temperature recorders may be placed between packages or in a load, e.g. in cartons,
900 in order to record the temperature over long periods. Such recorders may be programmed
901 and the measurements retrieved by means of computerized devices.

902

903 4.3 Non-contact thermometers

904

905 These devices measure the temperature of the food by sensing the infrared radiation
906 emitted by the food. The amount of radiation varies with different materials, which absorb
907 and reflect and transmit radiation differently. Infrared thermometers can be portable and
908 are usually “pistol shaped” sometimes with a laser sighting aid. Target size can be
909 important, since the instrument averages all the radiation in its field of vision. Care must be
910 taken in interpreting results from these devices with quick frozen foods because a package
911 rapidly picks up radiation from its surroundings, there can be a difference between surface
912 temperature and interior temperature. In addition the type of packaging will affect the
913 radiation. Laminated foil packaging in particular can give large errors because it reflects
914 radiation more efficiently than cardboard. Also available are devices which compensate for
915 this type of error and measure the radiation through a window.

916

917 Fixed video camera-type infrared thermometers are also used. These can give thermal
918 images, which permit industrial control of heating or cooling processes to ensure even
919 processing. This is also true of the freezing process. Therefore it is possible to scan large
920 numbers of products and pick out “hot-spots”, followed up by more accurate temperature
921 measurements.

922

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926 4.4 Temperature Indicators (TIs) and Time-Temperature Indicators (TTIs)

927

928 These devices give a colour change, either when a specific temperature has been exceeded
929 (TIs), or when the integrated exposure to a temperature over a period of time has been
930 exceeded (TTIs). There has been a reluctance to use TIs and TTIs on retail packages for a
931 number of reasons, in particular because of their current limitations and because they are
932 on the surface of packages and not inside the package, and because of their possible conflict
933 with durability dates. However, TIs and TTIs may be used on the outside of cartons or
934 pallets to detect temperature abuse during distribution from cold stores to holding stores
935 at retail, and they can monitor transfer of quick frozen foods where monitoring records
936 may not be available.

937

938 5 References

939

940 Codex Alimentarius Commission. *Code of Hygienic Practice for Processing and Handling of*
941 *Quick Frozen Foods* (CAC/GL 8:1976 amended 2008).