COMMISSION IMPLEMENTING REGULATION (EU) …/…

of XXX


THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 1308/2013 of the European Parliament and of the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007, and in particular Articles 70 and 72, Article 91(d) to (g), Article 123 and Articles 145(3), 147(4) and 223(3) thereof,


Whereas:


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and (EC) No 607/2009, which are repealed or deleted, respectively, by Commission Delegated Regulation (EU) 2017/….

(2) Article 62 of Regulation (EU) No 1308/2013 lays down the general requirement for Member States to grant an authorisation for vine planting upon submission of an application by producers intending to plant or replant vines. Article 63 of that Regulation provides for a safeguard mechanism for new plantings, whereby Member States have to grant every year authorisations for new plantings corresponding to 1% of the total area actually planted with vines in their territory, but where lower limits may be decided on the basis of sound justifications. Article 64 of Regulation (EU) No 1308/2013 lays down rules concerning the granting of authorisations for new plantings and sets out eligibility and priority criteria that Member States may apply.

(3) Rules should be established at Union level concerning the procedure to be followed by Member States regarding the decisions on the safeguard mechanism and on the choice of eligibility and priority criteria. Such rules should include time limits for decisions to be taken and implications in case certain decisions are not taken.

(4) In order to provide consistent application of Union law in all Member States and to ensure that Union producers are subject to the same rules when applying for authorisations for new plantings, the rules on the granting of authorisations for new plantings should also include the processing of applications, the selection procedure and their annual granting. These rules should aim at ensuring a transparent, fair, and timely functioning of the system, adapted to the needs of the wine sector. They should also prevent that applicants face unjustified inequalities, excessive delays or disproportionate administrative burden. In particular, since the beginning of the marketing year for the wine sector is on 1 August, the granting of authorisations for new plantings by that date seems well adjusted to the needs of the wine sector and ensures that vine plantings can still be undertaken within the same calendar year. An appropriate date should be fixed to ensure that all relevant decisions taken by the Member State are made public in due time before the opening of the call for applications and to allow producers to be well aware of the applicable rules before they submit an application.

(5) Where the total number of hectares requested in the eligible applications largely exceeds the number of hectares made available by Member States, it may lead to a large share of individual applicants obtaining only a fraction of the hectares they applied for and therefore refusing the corresponding authorisations and thus being subject to administrative penalties. To address such situations, it is appropriate not to impose such penalties where the authorisations granted correspond to less than a certain percentage of what was applied for. Furthermore, in order to avoid the loss of the corresponding authorisations, it should be made possible for Member States either to transfer them to the following year or to redistribute them within the same year among the applicants whose application was not fully satisfied and who did not reject the authorisations granted.

8 Commission Delegated Regulation (EU) … / … (OJ …).
Article 66 of Regulation (EU) No 1308/2013 and Articles 3 and 4 of Commission Delegated Regulation (EU) 2015/560 establish rules concerning the granting of authorisations for replanting in the same holding. Rules should also be established at Union level concerning the procedure to be followed by Member States when granting those authorisations for replanting, and the time frame for Member States to grant these authorisations. In order to enable producers to address constraints as regards replanting in the same holding due to phytosanitary, environmental or operational reasons, Member States should have the possibility to allow the producers to submit an application within a reasonable but limited period after the grubbing up. Furthermore, given that the submission and processing of applications for authorisations for replanting creates an important administrative burden for Member States and for the producers, it should also be possible to apply a simplified procedure in the specific cases where the area to be replanted corresponds to the area grubbed up or where no restrictions on replantings are imposed.

Article 68 of Regulation (EU) No 1308/2013 establishes rules on the granting of authorisations on the basis of conversion of planting rights granted before 31 December 2015. Rules should also be established at Union level concerning the procedure to be followed by Member States for granting of such authorisations. The time frame for submission and treatment of the requests should be established, so that Member States can receive and process the requests for conversion in an appropriate and timely manner.

In accordance with Article 62(2) of Regulation (EU) No 1308/2013, authorisations are to be granted for a specific area of the producer’s holding identified in the application. In duly justified cases, applicants should be given the possibility to change such specific area during the period of validity of the authorisation. However, this possibility should be excluded in some cases in order to prevent the circumvention of the scheme of authorisations for vine plantings.

Article 120(2)(a) of Regulation (EU) No 1308/2013 provides that Member States are to introduce laws, regulations or administrative provisions to ensure certification, approval and verification procedures so as to guarantee the veracity of the information provided in the labelling and presentation of wines without a protected designation of origin or a protected geographical indication. In order to ensure the protection and correct information of consumers and equal treatment of operators, rules should be laid down regarding the procedure and technical criteria applicable to the administrative certification, approval and verification of wine products without a protected designation of origin or a protected geographical indication intended to be marketed. Rules should also be laid down as regards the costs involved by administrative certification and the conditions under which the operators may draw up certificates for their products under supervision of competent authorities designated in accordance with Article 146 of Regulation (EU) No 1308/2013.

Under Article 147(2) of Regulation (EU) No 1308/2013, natural or legal persons who hold wine products are to keep an inward and outward register in respect of those products. In order to guarantee the traceability of the wine products and to enable the verification by Member States of their origin, characteristics or compliance with authorised oenological practices or food safety standards, it is necessary to set out

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rules concerning the products to be entered in the register and the information relating to these products. For the same reasons, rules also need to be laid down regarding the information relating to the operations carried out on those products.

(11) The substances used in certain oenological practices, especially enrichment, acidification and sweetening, are particularly open to the danger of fraudulent use. Records and detailed particulars should therefore be kept concerning those practices and substances to enable the competent authorities to supervise their movement and use during the whole process of wine production.

(12) Since other products are added to sparkling wines and liqueur wines during the elaboration process, supplementary information should be provided in addition to the records kept for still wines.

(13) In order to ensure a harmonised application and equal treatment of operators, the requirements applicable to the keeping of the inward and outward register should be specified and adapted to the type of operations and products. To this end, measures should be laid down in this Regulation as regards the composition of the register, the deadlines for recording particulars in the register and the closure of the register, as well as the measures regarding the acceptable percentages for losses from evaporation of products or other changes in the volume of products.

(14) In order to facilitate the management and monitoring of market operations, a time limit should be laid down for submitting production, stock and harvest declarations. Since harvesting takes place at different times in different Member States, the deadlines for declarations by producers should be staggered.

(15) In order to facilitate reporting, the form and manner in which operators are requested to provide the information to be included in production, stock, harvest and treatment or marketing declarations should be provided by the Member States.

(16) Chapter VII of Delegated Regulation (EU) xxxx/xxx on checks, competent authorities, liaison bodies and mutual assistance provides for the possibility for the liaison body of a Member State to request the liaison body of another Member State to collect samples of wine, grape must or another liquid wine product for checking purposes. This Regulation should lay down the rules applicable to the collection, treatment, holding and analyses of the samples collected and determine the laboratory where the analyses have to be made.

(17) An analytical databank of isotopic data is to be kept and updated at the level of the Union in accordance with Article 39 of Delegated Regulation (EU) xxxx/xxx. In order to facilitate interpretation of the results obtained from isotopic analyses carried out in Union laboratories equipped for that purpose and to guarantee that the results obtained in such laboratories are comparable, uniform rules should be drawn up for the collection of grape samples and for their vinification. Furthermore, to guarantee the quality and comparability of analytical data, a system of recognised quality standards should be applied to the laboratories designated by Member States to carry out the isotopic analysis of samples for the databank.

(18) Isotopic analysis of wine products and the interpretation of their results are delicate procedures. The analytical databank should help harmonising the interpretation of the results obtained by the designated laboratories of the Member States in applying the methods of analysis. In order to achieve a uniform interpretation of such analysis results, the analytical databank should be made accessible, on request, to the designated laboratories that notify the data using the methods of isotopic analysis and
to competent authorities designated by the Member States to ensure compliance with the Union rules in the wine sector, while respecting the protection of private data and the purpose the databank was created for.

(19) Article 62 of Regulation (EU) No 1306/2013 provides for the need to lay down provisions on the on-the-spot checks of the implementation of the scheme of authorisations for vine plantings, to be carried out by the Member States. General rules on control are needed in order to clarify that the main tool for verifying the compliance with the scheme is the vineyard register. Such provisions should set a general framework for Member States to develop more detailed provisions at national level in order to avoid non-authorised plantings and to ensure that the rules of the scheme of authorisations are respected, including the respect of the deadline for using the authorisations and for grubbing up in the case of anticipated replanting as well as the respect of the commitments made by the producers to obtain the authorisations.

(20) In order to be able to verify the compliance of the measures implemented by the Member States in the framework of the national support programmes referred to in Section 4 of Chapter II of Title I of Part II of Regulation (EU) No 1308/2013 with Union law in the wine sector, this Regulation should lay down provisions on checks and provide to this end the use of the vineyard register referred to in Article 145 of Regulation (EU) No 1308/2013. The conditions for the verification of the information in the vineyard register should also be laid down, including the availability of updated information for the purposes of monitoring and verifying the compliance with the rules provided for in the wine sector to which the information relates. To this end, the implementation of administrative checks and annual on-the-spot checks concerning all wine growers identified in the vineyard register should be laid down, setting out a minimum percentage of checks per year and common rules.

(21) In accordance with Article 223 of Regulation (EU) No 1308/2013, rules should be laid down on the information to be notified by undertakings, Member States and third countries, the methods of notification and arrangements for the management of the information to be notified.

(22) In order to facilitate the communication of information from the Member States to the Commission on all relevant aspects of the management and control of the scheme of authorisations for vine plantings and to allow for a proper monitoring of its implementation, rules should be laid down concerning the content, form, timing, frequency and deadlines of the yearly notifications as regards this scheme.

(23) For the proper management of the wine sector, it is appropriate to provide that all notifications from the Member States to the Commission required in accordance with Regulation (EU) No 1308/2013, Delegated Regulation (EU) xxxxx/xxx and this Regulation should be made in accordance with Commission Delegated Regulation (EU) 2017/1183 and Commission Implementing Regulation (EU) 2017/1185 and to determine how long accompanying documents, information, records and registers should be kept.

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The rules concerning authorisations for vine plantings set out in Commission Implementing Regulation (EU) 2015/561 are included in this Regulation. Implementing Regulation (EU) 2015/561 should therefore be repealed.

The measures provided for in this Regulation are in accordance with the opinion of the Committee for the Common Organisation of the Agricultural Markets.

HAS ADOPTED THIS REGULATION:

CHAPTER I
INTRODUCTORY PROVISIONS

Article 1
Subject matter

This Regulation lays down rules for the application of Regulations (EU) No 1306/2013 and (EU) No 1308/2013, respectively, as regards:

(a) the scheme of authorisations for vine plantings;
(b) certification;
(c) the inward and outward register;
(d) compulsory declarations;
(e) checks and the analytical databank of isotopic data;
(f) notifications.

CHAPTER II
SCHEME OF AUTHORISATIONS FOR VINE PLANTINGS

Article 2
Authorisations for vine plantings

1. Authorisations for vine plantings as provided for in Chapter III of Title I of Part II of Regulation (EU) No 1308/2013 shall be granted in accordance with this Regulation.

2. The authorisations for vine plantings referred to in paragraph 1 shall concern new plantings, re-plantings and planting rights to be converted.

3. The authorisations for new plantings referred to in Article 64 of Regulation (EU) No 1308/2013 shall be granted annually.

Article 3
Prior decisions on areas to be made available for new plantings

1. Where Member States decide to limit the total area available for new plantings to be allocated in the form of authorisations in accordance with Article 63(2) and (3) of Regulation (EU) No 1308/2013, they shall make public such decisions and the underlying reasons by 1 March of the respective year.

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2. Where Member States take into account recommendations from professional organisations or interested groups of producers as referred to in Article 65 of Regulation (EU) No 1308/2013, these recommendations shall be presented with sufficient time for their examination before the decision to limit the total area available for new plantings referred to in paragraph 1 is taken by the Member State concerned. The recommendations shall also be made public.

**Article 4**

Criteria for granting authorisations for new plantings

1. Where Member States decide to use criteria for granting authorisations for new plantings as laid down in Article 64(1) and (2) of Regulation (EU) No 1308/2013, such decisions shall be made public by 1 March of the respective year.

2. The decisions referred to in paragraph 1 shall concern:
   (a) the application of one or more of the criteria listed in the second subparagraph of Article 64(1) of Regulation (EU) No 1308/2013, including due justification in case Member States decide to apply Article 64(1)(d) of that Regulation, as well as the criteria set in Article 4(1) of Delegated Regulation (EU) xxxx/xxx;
   (b) the number of hectares available for granting authorisations at national level:
      (i) on a pro-rata basis;
      (ii) according to priority criteria listed in Article 64(2) of Regulation (EU) No 1308/2013, as well as in Article 4(3) of Delegated Regulation (EU) xxxx/xxx.

3. Where Member States intend to apply the priority criteria referred to in point (b)(ii) of paragraph 2, they shall define which of these priority criteria will be applied. Member States may also decide to attribute different importance to each of the priority criteria chosen. Such decisions shall enable Member States to establish a ranking of individual applications at national level for the granting of the number of hectares pursuant to point (b)(ii) of paragraph 2, based on the compliance of these applications with the priority criteria chosen.

**Article 5**

Default rules for new plantings

1. Where Member States do not make public the decisions referred to in Articles 3 and 4 by 1 March of the respective year, the following rules for granting authorisations for new plantings shall apply for the given year:
   (a) availability of authorisations for new plantings corresponding to 1% of the total area actually planted with vines in their territory, as specified in Article 63(1) of Regulation (EU) No 1308/2013, and without other limits;
   (b) pro-rata distribution of hectares to all eligible applicants on the basis of the area for which they have requested the authorisation, where applications exceed the area made available.

2. Member States shall ensure that the information on the rules applicable to granting authorisations in a given year pursuant to paragraph 1 is made public.
Article 6
Submission of applications for new plantings

1. Once the decisions referred to in Articles 3 and 4 or the information on the rules applicable to granting authorisations in a given year referred to in Article 5(2) are made public and not later than 1 May, Member States shall open the period of at least one month for the submission of individual applications.

2. Applications shall indicate the specific size and location of the area in the applicant's holding for which the authorisation is requested.

Where no decision on limits or on the criteria to be applied was taken in accordance with Articles 3 and 4, Member States may exempt applicants from the requirement to indicate in the application the specific location of the area in the applicant's holding for which the authorisation is requested. Member States may request, where relevant for the implementation of the scheme of authorisations, additional information from applicants.

3. Where Member States decide to use certain criteria for the granting of authorisations for new plantings in accordance with Article 4, the following rules shall apply:

(a) eligibility criteria referred to in Article 64(1)(c) of Regulation (EU) No 1308/2013 and in Article 4(1) of Delegated Regulation (EU) xxxx/xxx: applications shall indicate the grapevine product(s) the applicant intends to produce in the newly planted area(s), specifying whether the applicant intends to produce one or more of the following:
   (i) wines with a protected designation of origin (‘PDO’);
   (ii) wines with a protected geographical indication (‘PGI’);
   (iii) wines without geographical indication, including with an indication of the wine grape variety;

(b) priority criterion referred to in Article 64(2)(e) of Regulation (EU) No 1308/2013: applications shall include information of an economic nature demonstrating the economic sustainability of the respective project on the basis of one or more of the standard methodologies of financial analysis for agricultural investment projects mentioned in Part E of Annex II to Delegated Regulation (EU) xxxx/xxx;

(c) priority criterion referred to in Article 64(2)(f) of Regulation (EU) No 1308/2013: applications shall include information of an economic nature demonstrating the potential for increased competitiveness on the basis of the considerations laid down in Part F of Annex II to Delegated Regulation (EU) xxxx/xxx;

(d) priority criterion referred to in Article 64(2)(g) of Regulation (EU) No 1308/2013: applications shall include information demonstrating the potential for the improvement of products with geographical indications on the basis of one of the conditions laid down in Part G of Annex II to Delegated Regulation (EU) xxxx/xxx;

(e) priority criterion referred to in Article 64(2)(h) of Regulation (EU) No 1308/2013: applications shall include information showing that the size of the applicant's holding at the time of the application complies with thresholds to be established by Member States on the basis of the provisions laid down in Part H of Annex II to Delegated Regulation (EU) xxxx/xxx;

(f) where Member States require applicants to undertake the commitments referred to in Parts A and B of Annex I and Parts A, B, D, E, F, G and point II of Section I of
Annex II to Delegated Regulation (EU) xxxx/xxx in relation to the respective criteria, applications shall include those commitments.

Where any of the elements mentioned in points (a) to (f) of the first subparagraph may be gathered directly by Member States, Member States may exempt applicants from including such elements in their applications.

4. After the expiry of the submission period referred to in paragraph 1, Member States shall inform the non-eligible applicants on the non-eligibility of their applications pursuant to the decision on the eligibility criteria adopted by Member States in accordance with Article 4. Such applications shall be excluded from the subsequent steps of the procedure.

**Article 7**

**Granting of authorisations for new plantings**

1. Where the total area covered by the eligible applications submitted does not exceed the area(s) made available in accordance with Article 3(1), Member States shall grant the authorisations to the full extent applied for by producers.

2. Where the total area covered by the eligible applications submitted exceeds the area(s) made available in accordance with Article 3(1), Member States shall apply the selection procedure laid down in Annex I.

   Member States shall, not later than 1 August, grant the authorisations to the selected applicants according to the outcome of the selection procedure referred to in the first subparagraph. Where eligible applications have not been fully satisfied, applicants shall be informed of the reasons for such decision.

3. Where the authorisation granted corresponds to less than 50% of the area requested in the respective application, the applicant may refuse such authorisation within one month following the date on which the authorisation was granted.

   In the case referred to in the first subparagraph, the applicant shall not be subject to the administrative penalties referred to in Article 62(3) of Regulation (EU) No 1308/2013. Member States may decide that the corresponding number of hectares is made available in the same year, not later than 1 October, for authorisations to be granted to applicants that were granted only a part of the area they requested in accordance with the outcome of the selection procedure referred to in paragraph 2, and which did not refuse the corresponding authorisations. Member States may also decide to make available those hectares in the following year in addition to the 1% of the total area planted with vines as provided for in Article 63(1) of Regulation (EU) No 1308/2013.

**Article 8**

**Restrictions of the granting of authorisations for replantings**

1. Where Member States decide to restrict the granting of authorisations for replantings in areas eligible for the production of wines with a PDO or a PGI in accordance with Article 66(3) of Regulation (EU) No 1308/2013 and Article 6 of Delegated Regulation (EU) xxxx/xxx, they shall make such decisions public by 1 March.

   Professional organisations or interested groups of producers referred to in Article 65 of Regulation (EU) No 1308/2013 shall present the recommendations to be taken into account by the Member State pursuant to that Article 66(3) with sufficient time
for their examination before the decision referred to in the first subparagraph is taken. The Member State concerned shall make public those recommendations.

2. The decisions referred to in paragraph 1 shall apply during one year from the date on which they were made public.

Where a recommendation from a professional organisation or an interested group of producers is made for a period of time longer than one year but no more than three years, as provided for in the second subparagraph of Article 65 of Regulation (EU) No 1308/2013, such decisions may also apply for a period of time up to three years.

Where such professional organisations or interested groups of producers do not submit the relevant recommendations with sufficient time for their examination as provided for in paragraph 1, or Member States do not make public the relevant decisions by 1 March, Member States shall automatically authorise the replanting as provided for in Article 9.

Article 9

Procedure for granting authorisations for replantings

1. Applications for authorisation for replantings referred to in Article 66(1) of Regulation (EU) No 1308/2013 may be submitted at any time during the same wine year in which the grubbing up takes place. However, Member States may decide that the submission of applications for authorisation for replantings can be made until the end of the second wine year following the one in which the grubbing up took place. Where those time-periods are not respected, Member States shall not grant an authorisation for replanting.

The specific size and the location of the area(s) grubbed up and of the area(s) to be replanted in the same applicant's holding for which the authorisation is to be granted shall be identified in the applications. Where no restrictions are decided in accordance with Article 8, and the applicant has not undertaken any of the commitments referred to in point (2)(b) of Part A and point (2)(b) of Part B of Annex I to Delegated Regulation (EU) xxx/xxx and in point (4) of Part B and Part D of Annex II to that Delegated Regulation, Member States may exempt applicants from the requirement to indicate in the application the specific location of area(s) to be replanted for which the authorisation is to be granted. Member States may request, where relevant for the implementation of the scheme of authorisations, additional information from applicants.

Member States shall automatically grant authorisations within three months from the submission of the applications. However, Member States may decide to apply the time-periods referred to in Articles 6 and 7 for the submission of applications and granting of authorisations for new plantings, respectively.

2. Where the area to be replanted corresponds to the same area grubbed up or where no restrictions are decided in accordance with Article 8(1), a simplified procedure may be applied at national level or for certain areas within the territory of the Member State. In such case, the authorisation for replanting may be considered to have been granted on the date the area was grubbed up. To this purpose, the producer concerned shall submit, at the latest by the end of the wine year in which the grubbing up was undertaken, an ex-post communication which stands as application for authorisation.

3. Applications for authorisations for replantings referred to in Article 66(2) of Regulation (EU) No 1308/2013 may be submitted at any time during the year.
The specific size and the location of the area(s) to be grubbed up and of the area(s) to be replanted in the same applicant's holding for which the authorisation is to be granted shall be identified in the applications. Applications shall also include the commitment to grub up the area planted with vines at the latest by the end of the fourth year from the date on which new vines have been planted. Member States may request, where relevant for the implementation of the scheme of authorisations, additional information from applicants.

Member States shall automatically grant authorisations within three months as from the submission of the application. However, Member States may decide to apply the time periods referred to in Articles 6 and 7 for the submission of applications and granting of authorisations for new plantings, respectively.

**Article 10**

Procedure for granting the authorisations according to the transitional provisions

1. Where, in accordance with the second subparagraph of Article 68(1) of Regulation (EU) No 1308/2013, Member States decided to extend the time period to submit the request for the conversion of planting rights into authorisations beyond 31 December 2015, and made that decision public by 14 September 2015, the requests for conversion by the producer may be submitted at any time until the end of the time period fixed by Member States in that decision.

The specific size and location of the area in the applicant's holding for which the authorisation is to be granted shall be identified in the applications. Member States may exempt applicants from the requirement to indicate in the application the specific location of the area in the applicant's holding for which the authorisation is to be granted. Member States may request, where relevant for the implementation of the scheme of authorisations, additional information from applicants.

2. After verifying that the planting rights for which the conversion has been requested in accordance with paragraph 1 are still valid, Member States shall grant the authorisations automatically. The period between the submission of the request to convert and the granting of the authorisations shall not exceed three months.

**Article 11**

Modification of the specific area for which the authorisation is granted

In duly justified cases, Member States may decide, at the request of the applicant, that a vine planting may be made in an area of the holding which is different from the specific area for which the authorisation has been granted provided that the new area has the same size in hectares and that the authorisation is still valid in accordance with Article 62(3) of Regulation (EU) No 1308/2013.

The first paragraph shall not apply where authorisations have been granted on the basis of the compliance with specific eligibility or priority criteria linked to the location indicated in the application and the request for modification indicates a new specific area outside such location.
CHAPTER III
CERTIFICATION OF WINE PRODUCTS

Article 12
Procedure and technical criteria applicable to certification

1. The procedure for the certification, approval and verification of wine without a PDO or PGI pursuant to Article 120(2)(a) of Regulation (EU) No 1308/2013 shall require administrative evidence to support the veracity of the wine grape variety(-ies) or the vintage year shown on the label or conveyed in the presentation of the wines concerned.

In addition, Member States may decide on:

(a) an organoleptic test of the wine relating to the odour and the taste with the view to verifying that the essential characteristic of the wine is due to the wine grape variety or varieties used on anonymous samples;

(b) an analytical test in case of a wine made from a single wine grape variety.

The procedure shall be carried out in the Member State in which the wine is produced. In case of mixtures of wines from different Member States as referred to in Article 120(2)(c) of Regulation (EU) No 1308/2013, certification may be carried out by any of the Member States concerned.

2. The certification shall be carried out through random and risk based checks, in accordance with Articles 36 and 37 of Delegated Regulation (EU) xxxx/xxx and Chapter VI of this Regulation.

The costs of the certification shall be borne by the operators subject to it, save where Member States decide otherwise.

3. The operators involved in the marketing of wine products produced, processed or bottled by them shall be recognised and receive a permit from the competent authorities of the Member States to certify the origin or provenance, the characteristics, the vintage or the wine grape variety(-ies) in accordance with Articles 11 and 12 of Delegated Regulation (EU) xxxx/xxx, under supervision of the competent authorities designated in accordance with Article 146 of Regulation (EU) No 1308/2013.

CHAPTER IV
INWARD AND OUTWARD REGISTER

Article 13
Scope and form of the register

1. Operators required to keep the inward and outward register, in this Chapter referred to as ‘the register’, shall record:

(a) the entry and withdrawal of each batch of wine products referred to in Article 147(2) of Regulation (EU) No 1308/2013 to or from their premises on the basis of one of the accompanying documents referred to in Article 10 of Delegated Regulation (EU) xxxx/xxx;

(b) the category of product, as specified in Article 14;
the operations specified in Article 29 of Delegated Regulation (EU) xxxx/xxx where they are carried out on their premises.

2. The register shall take one of the following forms:
   (a) fixed leaves numbered consecutively;
   (b) an electronic record presented in accordance with the detailed rules laid down by the competent authorities;
   (c) a suitable modern accounting system, approved by the competent authorities;
   (d) a collection of accompanying documents containing the date on which they were drawn up or taken over by merchants.

However, Member States may provide that the register kept by producers may take the form of notes on the reverse side of the production, stock or harvest declarations provided for in Chapter VI of Delegated Regulation (EU) xxxx/xxx.

Article 14
Products to be entered in the register

1. For products to be entered in the register, separate accounts shall be kept for:
   (a) each of the categories listed in Part II of Annex VII to Regulation (EU) No 1308/2013, by distinguishing:
      (i) each wine with a PDO and products intended for processing into such a wine;
      (ii) each wine with a PGI and products intended for processing into such a wine;
      (iii) each wine not covered by a PDO or PGI produced with a single wine grape variety and the products intended for processing into such a wine, with the reference of the classification of the wine grape variety adopted by the Member State pursuant to Article 81 of Regulation (EU) No 1308/2013 and with the indication of the vintage year;
      (iv) each wine not covered by a PDO or PGI produced with two or more wine grape varieties and the products intended for processing into such a wine, with the indication of the vintage year;
      (v) each product not complying with oenological practices and restrictions provided for in Article 80 of Regulation (EU) No 1308/2013 or in Regulation (EC) No 606/2009 which has to be destroyed in accordance with Article 10 of Regulation (EC) No 606/2009;
   (b) each of the following products held for whatever purpose:
      (i) sucrose;
      (ii) concentrated grape must;
      (iii) rectified concentrated grape must;
      (iv) products used for acidification;
      (v) products used for de-acidification;
      (vi) spirits distilled from wine;
(vii) each by-product of wine products which has to be disposed of in accordance with Section D of Part II of Annex VIII to Regulation (EU) No 1308/2013 and Articles 14a and 14b of Regulation (EC) No 606/2009, with indication whether it concerns delivery for distillation, vinegar production or specific use not involving wine making.

2. By way of derogation from paragraph 1(a), different PDO or PGI wines put up in containers of no more than 60 litres labelled in accordance with Union legislation that are acquired from a third party and held with a view to sale may be recorded in the same account, provided that entries and withdrawals of each wine with a PDO or PGI appear separately.

3. Loss of the use of the PDO or PGI shall be recorded in the register. The products concerned shall be moved to one of the accounts of wines without PDO or PGI.

Article 15

Information on the wine products to be included in the register

1. For every entry or withdrawal of products referred to in Article 14(1)(a), the register shall give:
   (a) the lot number of the product [where such a number is] required under Union or national law;
   (b) the date of the operation;
   (c) the quantity entered or withdrawn;
   (d) the product concerned, described in accordance with the relevant Union or national law;
   (e) a reference to the accompanying document or certificate which accompanies or accompanied the consignment in question in accordance with Articles 10, 11 and 20 of Delegated Regulation (EU) xxxx/xxx, except for the cases referred to in Article 9 of that Regulation.

2. For the wines referred to in points 1 to 9, 15 and 16 of Part II of Annex VII to Regulation (EU) No 1308/2013, the entry in the register kept by the operators shall contain the optional particulars set out in Article 120 of that Regulation provided that they are shown on the labelling or it is planned to show them on the labelling.

3. The containers for storing the wines referred to in paragraph 2 shall be identified in the register and their nominal volume shall be indicated. The containers shall also bear the relevant particulars required by the Member States to allow the competent authorities to identify their contents using the register.

However, in the case of containers of 600 litres or less, filled with the same product and stored together in the same lot, the lot as a whole may be marked rather than the individual containers, provided that it is clearly separated from other lots.

4. In the cases of previous consignments of a product, a reference to the document under cover of which the product was previously transported shall be recorded in the register.
Article 16
Information concerning the operations to be included in the register

1. For each of the operations specified in Article 29 of Delegated Regulation (EU) …/…, the register shall include:
   (a) the operations carried out;
   (b) in case of the operations referred to in points (a), (b) and (c) of Article 29(2) of Delegated Regulation (EU) …/…:
      (i) the date of the operation;
      (ii) the nature and quantities of the products used;
      (iii) the quantity of product obtained from the operation, including the alcohol produced by the correction of alcohol content of wine and the quantity of sugar contained in the sugar solution removed from the initial must;
      (iv) the quantity of product used in increasing the alcoholic strength, acidification and de-acidification and sweetening;
      (v) the description of the products before and after the operation, in accordance with the relevant Union or national law;
   (c) the markings on the containers in which the products entered in the register were contained before the operation and are contained after the operation;
   (d) in the case of bottling, the number of bottles filled and their content;
   (e) in the case of contract bottling, the name and address of the bottler.

2. Where a product changes category without undergoing one of the operations referred to in Article 29 of Delegated Regulation (EU) …/…, in particular in the case of fermentation of grape must, the quantities and the type of product obtained after the change shall be noted in the register.

Article 17
Information concerning sparkling wines and liqueur wines to be included in the register

1. For the production of sparkling wine, the registers shall show, for each cuvée prepared:
   (a) the date of preparation;
   (b) the date of bottling for all categories of quality sparkling wine;
   (c) the volume of the cuvée and the description, volume and actual and potential alcoholic strength of each of its constituents;
   (d) the amount of tirage liqueur used;
   (e) the amount of expedition liqueur;
   (f) the number of bottles obtained, specifying where appropriate the type of sparkling wine, using a term relating to its residual sugar content, provided the term appears on the label.

2. For the production of liqueur wine, the registers shall show for each batch being prepared:
(a) the date of addition of any of the products listed in point (3)(e) and (f) of Part II of Annex VII to Regulation (EU) No 1308/2013;
(b) the type and volume of the product added.

**Article 18**

**Information on specific products to be included in the register**

1. The separate accounts for the products referred to in Article 14(1)(b) shall show for each product:
   (a) in the case of entries:
      (i) the name and address of the supplier referring, where appropriate, to the document which accompanied the transport of the product;
      (ii) the quantity concerned;
      (iii) the date of entry;
   (b) in the case of withdrawals:
      (i) the quantity concerned;
      (ii) the date of use or withdrawal;
      (iii) where appropriate, the name and address of the consignee.

2. As regards by-products or wine products to be withdrawn in accordance with Articles 14a(2) and 14b of Regulation (EC) No 606/2009, the quantities to be recorded in the register are those estimated by the operators concerned in accordance with Article 14a of that Regulation.

**Article 19**

**Losses and personal or family consumption**

1. Member States shall determine the maximum acceptable percentages for losses from evaporation during warehousing, processing operations or changes in product category.

2. The holder of the registers shall report losses in writing, within a period laid down by the Member States, to the competent authority for the territory in question, where actual losses exceed:
   (a) during transport, the tolerances referred to in point 2.1(d) of Part B of Annex V to Delegated Regulation (EU) xxxx/xxx; and
   (b) in the cases referred to in the first paragraph, the maximum percentages set by the Member States.

The competent authority referred to in first subparagraph shall take the necessary measures to investigate the losses.

3. Member States shall specify how particulars are to be recorded in the register concerning:
   (a) the personal consumption of the producer and his family;
   (b) any accidental changes in the volume of products.
Article 20

Deadlines for particulars to be recorded in the register

1. The particulars referred to in Article 14(1)(a) and Articles 15 and 19 shall be recorded in the register:
   (a) in the case of entries, not later than the working day following receipt; and
   (b) in the case of losses, personal and family consumption or withdrawals, not later than the third working day following the recognition, consumption or dispatch.

2. The particulars referred to in Article 29(1) and (2) of Delegated Regulation (EU) xxxx/xxx and Articles 16 and 17 of this Regulation shall be recorded in the register:
   (a) not later than the working day following the operation; and
   (b) in the case of enrichment, on the day itself.

3. The particulars referred to in Article 14(1)(b) and Article 18 of this Regulation shall be recorded in the register:
   (a) in the case of entries and withdrawals, not later than the working day following receipt or dispatch; and
   (b) in the case of use, on the day of use.

4. However, Member States may authorise longer deadlines not exceeding 30 days, particularly where computerised registers are used, provided that a check can still be made on entries, withdrawals and the operations referred to in Article 29(1) and (2) of Delegated Regulation (EU) xxxx/xxx at any time on the basis of other supporting documents and that these documents are considered reliable by the competent authorities.

As regards enrichment operations referred to in Article 29(2)(b) of Delegated Regulation (EU) xxxx/xxx, Member States may require that they are recorded in the register before the enrichment operation is performed.

5. By way of derogation from paragraphs 1, 2 and 3, withdrawals of the same product may be recorded in the register in the form of a monthly total where the product is put up solely in containers of a nominal volume of 10 litres or less fitted with a non-reusable closing device as referred to in Article 9(2)(a) of Delegated Regulation (EU) xxxx/xxx.

Article 21

Closure of the register

The register shall be closed by establishing an annual balance sheet once a year, on a date to be set by the Member States. An inventory of stocks shall be drawn up in the context of the annual balance sheet. Existing stocks shall be carried forward to the next annual period. They shall be recorded as an entry in the register at a date following the annual balance sheet. If the annual balance sheet shows differences between the theoretical stocks and the actual stocks, this shall be noted in the closed books.
CHAPTER V
DEclarations

Article 22
Production declarations

1. Producers shall submit the production declaration referred to in Article 31 of the Delegated Regulation (EU) xxxx/xxx as regards the production of the current wine year by 15 January of each year. Member States may set an earlier date or, for late harvests, wines and specific wine productions, a date not later than 1 March.

2. The production declaration referred to in paragraph 1 shall contain at least the following information:

(a) identity of the producer;
(b) place where products are held;
(c) category of products used for wine production: grapes, grape must (concentrated, rectified concentrated or partially fermented), or new wines still in fermentation;
(d) name and address of suppliers and reference of an accompanying document or other commercial document used for the delivery, without prejudice to the exemptions referred to in Article 9 of Delegated Regulation (EU) …/…;
(e) areas planted with vines under production, including those for experimental purposes, in which the grapes originate, indicated in hectares and with reference to the location of the vineyard parcel;
(f) volume, indicated in hectolitres or 100 kg, of the wine products obtained since the beginning of the wine year and held at the date of the declaration, broken down by colour (red/rose or white), category of products used (new wines still in fermentation, must, including partially fermented but excluding concentrated and rectified concentrated), and one of the following types:

(i) wine with a PDO;
(ii) wine with a PGI;
(iii) varietal wine without PDO/PGI;
(iv) wine without PDO/PGI;
(v) all other products of the wine year, including concentrated must, rectified concentrated must.

Member States may authorise the submission of one declaration per winemaking establishment.

3. The quantity of wine to be stated in the production declaration shall be the total quantity obtained on completion of the principal alcoholic fermentation, including the wine lees.

To convert quantities of products other than wine into hectolitres of wine, Member States may set coefficients according to objective criteria relevant for the conversion. Member States shall notify the Commission of the coefficients along with the notifications referred to in Point 8 of Annex III to Implementing Regulation (EU) 2017/1185.
4. Member States shall require harvesters and merchants who market products intended for the production of wine to provide producers with the data required for filling in the production declarations.

**Article 23**

**Stock declarations**

1. Producers, processors, bottlers and merchants shall submit the stock declaration referred to in Article 32 of Delegated Regulation (EU) xxxx/xxx by 10 September.

2. Such declaration shall contain at least the following information:
   - (a) the identity of the producers, processors, bottlers or merchants;
   - (b) the place where the products are held;
   - (c) in relation to wines, overall stocks broken down by colour (red/rosé or white), type of wine (with PDO, with PGI, varietal without PDO/PGI or without PDO/PGI), origin (Union or third countries) and type of stock holder (producer or merchant);
   - (d) in relation to must, overall stocks broken down by colour (red/rosé or white), type of grape must (concentrated, rectified concentrated or other), type of stock holder (producer or merchant).

Union wine products produced from grapes harvested during the same calendar year shall not be included in this declaration.

**Article 24**

**Harvest declarations**

1. Where Member States require the harvest declaration referred to in Article 33(1) of Delegated Regulation (EU) xxxx/xxx, harvesters shall submit that declaration by 15 January. Member States may set an earlier date or, for late harvests, not later than 1 March.

2. Such declaration shall contain at least the following information, broken down according to the categories laid down in point 1.2(3) of Annex III to Delegated Regulation (EU) xxxx/xxx:
   - (a) identity of the harvester (in accordance with the information required at point 1.1(1) of Annex III to Delegated Regulation (EU) xxxx/xxx);
   - (a) area planted with vines under production (in hectares and with reference to the location of the vineyard parcel);
   - (b) quantity of grapes harvested (in 100 kg);
   - (c) destination of the grapes (in hectolitres or 100 kg):
     - (i) made into wine by the declarant, as a producer;
     - (ii) delivered to a cooperative winery (as grapes or must);
     - (iii) sold to a wine producer (as grapes or must);
     - (iv) other destinations (as grapes or must).
Article 25
Notifications and centralisation of information
The information contained in production and stock declarations in accordance with Articles 22 and 23 and harvest declarations in accordance with Article 24 of this Regulation and treatment or marketing declarations in accordance with Article 34 of Delegated Regulation (EU) …/…, where applicable, shall be centralised at national level.

Member States shall lay down the form and manner in which this information shall be notified to them.

CHAPTER VI
PROVISIONS ON CHECKS

SECTION I
COMMON RULES

Article 26
Samples for checking purposes
1. For the purposes of Chapter VII of Delegated Regulation (EU) xxxx/xxx, the liaison body of a Member State may request the liaison body of another Member State to collect samples in accordance with the instructions set out in Annex II to this Regulation.

2. The requesting body shall hold the samples collected and shall determine inter alia the laboratory where they are to be analysed.

SECTION II
ANALYTICAL DATABANK OF ISOTOPIC DATA

Article 27
Samples for the analytical databank
1. For the establishment of the analytical databank of isotopic data referred to in Article 39 of Delegated Regulation (EU) xxxx/xxx, the designated laboratories of the Member States shall take samples of fresh grapes for analysis as well as for their treatment and processing into wine in accordance with the instructions set out in Part I of Annex III to this Regulation.

2. The samples of fresh grapes shall be taken from vineyards situated in a wine-growing area of clearly defined soil type, situation, vine training system, variety, age and cultural practices.

3. The number of samples to be taken each year for the databank is set out in Part II of Annex III. The selection of samples shall take account of the geographical situation of vineyards in the Member States listed in Part II of Annex III. Each year at least 25% of the samples shall be taken from the same plots as in the previous year.

4. The samples shall be analysed by the methods set out by the Commission pursuant to Article 80(5) of Regulation (EU) No 1308/2013 and Article 15 of Regulation (EC) No 606/2009 by laboratories designated by the Member States. The designated
laboratories shall meet the general criteria for the operation of testing laboratories set out in ISO/IEC 17025:2005, and shall in particular take part in a system of proficiency tests covering methods of isotopic analysis. The laboratories shall provide the evidence of compliance with these criteria in writing to the European reference centre for control in the wine sector (‘ERC-CWS’) for the purpose of quality control and validation of the data provided.

5. The laboratories shall draw up an analysis report in accordance with Part IV of Annex III and a description sheet for each sample in accordance with the questionnaire in Part III of Annex III.

6. The laboratories shall send a copy of the report with the results and interpretation of the analyses along with a copy of the description sheet to the ERC-CWS.

7. Member States and the ERC-CWS shall:
   (a) preserve data in the analytical databank;
   (b) keep each of the samples for at least three years from the date the sample is taken;
   (c) use the databank only for monitoring the application of Union and national wine legislation or for statistical or scientific purposes;
   (d) take measures to safeguard the data, in particular against theft and interference;
   (e) make files available, without undue delay or cost, to those to whom they relate so that any inaccuracies can be rectified.

8. The ERC-CWS shall draw up and update on a yearly basis the list of the Member States laboratories designated for the preparation of samples and the measurements for the analytical databank.

Article 28
Communication of information contained in the analytical databank

1. The information contained in the analytical databank of isotopic data shall be made available on request to the laboratories designated by the Member States.

2. In duly substantiated cases, the information referred to in paragraph 1 may be made available on request to competent authorities designated by Member States for ensuring compliance with the Union rules in the wine sector.

3. Information made available shall relate only to the relevant analytical data required to interpret an analysis carried out on a sample of comparable characteristics and origin. Any notification of information made available shall be accompanied by a reminder of the conditions of use of the databank as referred to in Article 27(7)(c).

Article 29
National databanks of isotopic data

The results of isotopic analyses contained in the databanks of Member States shall be obtained by analysing samples taken and treated in accordance with Article 27.
SECTION III
SPECIFIC PROVISIONS ON CHECKS

Article 30
Checks for the scheme of authorisations for vine plantings

For the purposes of verifying compliance with the rules set out in Chapter III of Title I of Part II of Regulation (EU) No 1308/2013, Chapter II of Delegated Regulation (EU) xxxx/xxx and Chapter II of this Regulation, Member States shall make use of the vineyard register referred to in Article 145 of Regulation (EU) No 1308/2013.

Article 31
Verification of the information in the vineyard register

1. Member States shall make available the data in the vineyard register for the purposes of monitoring and verifying the measures financed under the national support programme referred to in Section 4 of Chapter II of Title I of Part II of Regulation (EU) No 1308/2013 to which they relate.

2. As regards areas planted with vines, at least the following checks shall be carried out in order to maintain an updated vineyard register:
   (a) administrative checks applied to all wine growers identified in the vineyard register who:
      (i) have activated an authorisation of planting or replanting or made a registration or a modification of data in the vineyard register following an application or a notification submitted concerning the scheme of authorisations for vine plantings;
      (ii) submit an application for the measures ‘restructuring and conversion of vineyards’ or ‘green harvesting’ under a national support programme referred to in Articles 47 and 50 of Regulation (EU) No 1308/2013;
      (iii) submit one of the declarations referred to in Articles 31, 32 and 33 of Delegated Regulation (EU) xxxx/xxx.
   (b) annual on-the-spot checks on at least 5% of all wine growers identified in the vineyard register.
   Where the wine growers selected for the sample are subject in the same year to on-the-spot checks in the framework of the measures referred to in point (a)(i) and (ii), such on-the-spot checks shall be counted for reaching the 5% yearly threshold without the need to repeat them.
   (c) systematic on-the-spot checks shall be carried out in areas planted with vines which are not included in any wine grower file as laid down in Annex IV to Delegated Regulation (EU) xxxx/xxx.

Article 32
Checks concerning the declarations

As regards the declarations referred to in Articles 31 to 34 of Delegated Regulation (EU) xxxx/xxx, Member States shall carry out any checks and take any measures necessary to ensure the accuracy of those declarations.
CHAPTER VII
NOTIFICATIONS

Article 33
Notifications on the scheme of authorisation for vine plantings

1. Member States shall submit to the Commission by 1 March of each year:

(a) the communication on wine-growing areas referred to in Article 145(3) of Regulation (EU) No 1308/2013, concerning the situation on 31 July of the previous wine year. This communication shall be made in the form set out in Part I of Annex IV to this Regulation;

(b) the notifications referred to in Articles 63(4) and 64(3) of Regulation (EU) No 1308/2013. These notifications shall be made in the form set out in Part II of Annex IV to this Regulation;

(c) a notification on the restrictions decided by Member States in relation to replantings in the same holding as referred to in Article 8 of this Regulation. This notification shall be made in the form set out in Table A under Part V of Annex IV to this Regulation;

(d) an updated national list of professional organisations or interested groups of producers referred to in Articles 3 and 8 of this Regulation;

(e) the communication on the total size of the areas ascertained as planted with vines without an authorisation as well as the non-authorised areas grubbed up, as referred to in Article 71(3) of Regulation (EU) No 1308/2013. Such communication shall refer to the previous wine year. The communication shall be made in the form set out in Part III of Annex IV to this Regulation;

(f) where Member States decide to apply the priority criterion referred to in Article 64(2)(h) of Regulation (EU) No 1308/2013, the thresholds decided in relation to the minimum and maximum size of holdings as referred to in point H of Annex II to Delegated Regulation (EU) xxxx/xxx.

2. Member States shall notify the Commission by 1 November of each year of:

(a) the applications for authorisations for new plantings, the authorisations effectively granted during the previous wine year pursuant to Article 7(1) or (2) of this Regulation, and the authorisations refused by the applicants as well as those granted to other applicants before 1 October pursuant to Article 7(3) of this Regulation. These notifications shall be made in the form set out in Part IV of Annex IV to this Regulation;

(b) the authorisations for replantings granted during the previous wine year as referred to in Article 9 of this Regulation. These notifications shall be made in the form set out in Table B under Part V of Annex IV to this Regulation;

(c) the authorisations granted during the previous wine year on the basis of the conversion of valid planting rights as referred to in Article 10 of this Regulation. Such notification shall be made in the form set out in Part VI of Annex IV to this Regulation and be made only until 1 November of the year following the end of the deadline for conversion referred to in Article 68(1) of Regulation (EU) No 1308/2013 or the deadline set by the Member State in accordance with Article 10(1) of this Regulation.
3. If a Member State fails to comply with the rules set out in paragraph 1 or 2, or if the relevant information appears incorrect, the Commission may suspend part or all of the monthly payments referred to in Article 17 of Regulation (EU) No 1306/2013 as regards the wine sector until the notification is correctly made.

4. This Article shall be without prejudice to the Member States' obligations laid down in Regulation (EU) No 1337/2011 of the European Parliament and of the Council.\(^{13}\)

Article 34

**General rules on notifications and availability of information**

The notifications to the Commission referred to in Delegated Regulation (EU) xxxx/xxx and in this Regulation shall be made in accordance with Delegated Regulation (EU) 2017/1183 and Implementing Regulation (EU) 2017/1185.

Article 35

**Keeping accompanying documents, information and registers**

1. The accompanying documents and their copies shall be kept for at least five years from the end of the calendar year during which they were completed.

2. The information on the scheme of authorisation for vine plantings submitted in accordance with Article 33 shall be kept for at least ten wine years following the wine year during which it was submitted.

3. The inward and outward register and the documents concerning the operations recorded therein shall be kept for at least five years after the accounts to which they refer have been closed. Where one or more accounts in a register relating to insignificant quantities of wine are not yet closed, such accounts may be carried over to another register, provided that reference is made to such carry-over in the original register. In this case, the period of five years shall begin on the day of the carry-over.

4. The data of the vineyard register as provided for in Article 7 of Delegated Regulation (EU) xxxx/xxx shall be kept for as long as it is necessary for the purposes of monitoring and verifying the measures or the scheme to which they relate and in any event for, respectively, at least five wine years in case of data relating to the measures or at least ten wine years in case of data relating to the scheme of authorisation for vine plantings, following the wine year to which they relate.

CHAPTER VIII

**FINAL PROVISIONS**

Article 36

**Repeal**

Implementing Regulation (EU) 2015/561 is repealed.

Article 37

Entry into force

This Regulation shall enter into force on the third day following that of its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.
Done at Brussels,

For the Commission
The President