

EN

ANNEXES

Annex I

Categories of goods and frequency rates for the physical checks of the consignments of products of animal origin, germinal products, animal by-products, derived products, hay and straw and composite products

Category of goods*	Frequency rates that apply to physical checks
<p style="text-align: center;">Category I</p> <ul style="list-style-type: none">- Meat preparations for human consumption (HC)- Poultry meat for HC- Rabbit meat, game meat, and their meat products for HC- Eggs for HC- Egg products for HC which are preserved at frozen or chilled temperatures- Milk for HC- Dairy products and colostrum-based products for HC, which are preserved at frozen or chilled temperatures- Fishery products from aquaculture and bivalve molluscs for HC, which are not in hermetically sealed containers intended to render them stable at ambient temperature- Animal by-products and derived products, for feeding of farmed animals	<p style="text-align: center;">High risk 30 %</p>
<p style="text-align: center;">Category II</p> <ul style="list-style-type: none">- Meat other than meat mentioned in Category I, and their meat products, for HC- Rendered animal fat and greaves for HC- Poultry meat products for HC- Egg products for HC, other than those mentioned in Category I- Dairy products and colostrum-based products for	<p style="text-align: center;">Moderate risk 15 %</p>

<p>HC other than those mentioned in Category I</p> <ul style="list-style-type: none"> - Fishery products other than those mentioned in Category I - Honey and other apiculture products for HC - Composite products - Hatching eggs - Organic fertilisers and soil improvers, derived from animal by-products 	
<p style="text-align: center;">Category III</p> <p>Gelatine and collagen for HC</p> <ul style="list-style-type: none"> - Frog legs and snails for HC - Casings - Semen and embryos - Animal by-products and derived products, other than those mentioned in Category I and Category II - Insects for HC 	<p style="text-align: center;">Low risk</p> <p style="text-align: center;">5 %</p>
<p style="text-align: center;">Category IV</p> <ul style="list-style-type: none"> - Highly refined products for HC - Hay and straw - Other goods than those mentioned in Category I; Category II and Category III 	<p style="text-align: center;">Very low risk</p> <p style="text-align: center;">1%</p>

* The frequency rates for physical checks of the consignments of trade samples, where appropriate, should be in accordance to the description of the categories of goods provided in this Annex.

Annex II

Criteria for establishing the categories of goods from certain third countries for which the frequency rates in Annex I need to be adjusted to the next higher risk level

The criteria to be followed for establishing the categories of goods from certain third countries requiring an adjustment to the frequency rates in Annex I are the following:

1. The outcome of controls performed by Commission experts in third countries in accordance with Article 120(1) of Regulation (EU) 2017/625;
2. Information collected by the Commission in accordance with Article 125(1) of Regulation (EU) 2017/625.

The frequency rate for physical checks shall be increased to the next higher frequency rate laid down in Annex I, or to a frequency rate of 50% where the categories of goods concerned are already subject to a frequency rate of 30%.

Annex III

Information required for submission of requests to the Commission.

The information referred to in Article 6(4)(d) shall contain:

- (a) a description of the goods concerned;
- (b) the origin of the goods concerned;
- (c) the list of the Member States that import the goods concerned;
- (d) the volume of import into the Community of the goods concerned, expressed in number of consignments and weight or pieces or units;
- (e) the list of the Union quarantine pests liable to be carried on the product concerned;
- (f) the number of consignments of the goods concerned intercepted for the presence of the Union quarantine pests mentioned under (e);
- (g) the estimated mobility of the Union quarantine pests mentioned under (e) at the most mobile stage to which the organism could develop on the relevant plant, plant product or other object;
- (h) the number of consignments of the goods concerned intercepted for reasons other than the presence of the Union quarantine pests mentioned under (e);
- (i) the number of consignments of the goods concerned on which identity and physical plant health checks have been carried out.

As regards the information mentioned under points (d), (f), (h) and (i), the dossier shall provide data covering the period of at least three years preceding the year in which it is submitted.

Annex IV

Criteria for determination and modification of the frequency rates of plants, plant products and other objects

1. The criteria referred to in Article 6(2)(c) for the establishment of the lists of plants, plant products and other objects to a determination of frequency rates of identity checks and physical checks to be taken into account by the Commission are the following :
 - (a) the average number of consignments entering the Union and intended to be placed on the market over the preceding three years is at least 200 per year ;
 - (b) the minimum number of consignments entering the Union and intended to be placed on the market of plants, plant products and other objects for which documentary checks, identity checks and physical checks have been carried out during the previous three years is at least 600 for the EU;
 - (c) the number of consignments of the plants, plant products and other objects which were infected by Union quarantine pests is each year less than 1 % of the total number of consignments of said plants, plant products and other objects imported into the Union, and
2. In addition to the criteria referred to in Article 6(2)(b), the Commission in establishing the level of the frequency rates, shall take into account the following criteria:
 - (a) the estimated mobility of the Union quarantine pest at the most mobile stage to which it could develop on the relevant plants, plant product or other objects;
 - (b) the number of consignments of plants, plant products and other objects on which identity checks and physical checks have been carried out;
 - (c) the total number and the details of interceptions of Union quarantine pests related to the consignments imported pursuant to this Regulation;
 - (d) the total number of consignments of the goods concerned intercepted for other reasons than the presence of Union quarantine pests and the details thereof; and
 - (e) any other factor relevant to the determination of the phytosanitary risk from the trade concerned.

Annex V

List of certain third countries referred to in Article 5(6) and frequencies of physical checks

1. New Zealand

In the case of New Zealand, the frequencies shall be those provided for in the Agreement which was approved by Council Decision 97/132/EC in the form of an Exchange of Letters concerning the provisional application of the Agreement between the European Community and New Zealand on sanitary measures applicable to trade in live animals and animal products¹.

2. Canada

In the case of Canada, the frequencies shall be those provided for in Annex VIII to the Agreement which was approved by Council Decision 1999/201/EC².

3. Chile

In the case of Chile, the frequencies shall be those provided for in the Agreement on Sanitary and Phytosanitary Measures applicable to Trade in Animals and Animal Products, Plants, Plant Products and other Goods and Animal Welfare set out in Annex IV to the Association Agreement which was approved by Council Decision 2002/979/EC³.

¹ Council Decision 97/132/EC of 17 December 1996 on the conclusion of the Agreement between the European Community and New Zealand on sanitary measures applicable to trade in live animals and animal products (97/132/EC) (OJ L 57, 26.2.1997, p. 4).

² Council Decision 1999/201/EC of 14 December 1998 on the conclusion of the Agreement between the European Community and the Government of Canada on sanitary measures to protect public and animal health in respect of trade in live animals and animal products (OJ L 71, 18.3.1999, p. 1).

³ Council Decision 2002/979/EC of 18 November 2002 on the signature and provisional application of certain provisions of an Agreement establishing an association between the European Community and its Member States, of the one part, and the Republic of Chile, of the other part OJ L 352, 30.12.2002, p. 1).